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of Banking | Henri
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future with FinTech,
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of emerging
technology on the
capital markets and
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regulatory
frameworks.***

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will join the Fintech
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financial services

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Excel sheets to
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and ...*

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Operations: Finding***

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**Morgan Lewis
partner Jennifer L.
Klass will speak on
the panel “Risk
Management and
Compliance for
Emerging
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**risk oversight and
control framework
around applications
of emerging
technologies such
as the cloud and
robotics process
automation.**

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recap just some of
what was seen and
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at SIFMA's 2017
Annual Meeting, The
Capital Markets**

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Conference, Chris
Vickery, Nomura's
Managing Director
and Chief

*Operations Officer
of the Americas, led
panelists through a
discussion on the
leaps in operational
processes and
what's next for
blockchain, artificial
intelligence and
more.*

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Impact: How Fintech
is Changing ... -
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the whole Tech
community will
gather in the very
heart of Valencia, to
exchange on the
future of our
industry at the third
FinTech &***

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Gorfine explained
that LabCFTC**

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released their Smart Contracts primer in follow up to the 2017 primer on virtual currencies and the CFTC's recent FinTech Forward conference. He noted that just because they are done in self-executed code does not mean that they are not subjected to

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**regulatory
requirements.**

**Gorfine continued
that Smart Contracts
are a “vessel
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...**

**U.S. Chamber of
Commerce Event on
FinTech - SIFMA
SIFMA's FinTech
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***5-6 in New York City,
will bring together
innovators and
industry leaders to
discuss these
developments and
how they are
changing the way
firms understand
and interact with
their customers,
manage risk, and
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attended SIFMA's
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*in New York. I sat
through panels
discussing the
topics of the day in
financial services
operations –
emerging
technologies, the
ever-evolving
regulatory
landscape, you
name it.*

DLT and AI Top of
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***The intersection of
Finance &
Technology is
currently a wild west
frontier. Pioneers
can make large
fortunes and lay
claim to brand new
pastures. Yet, the
mortality of both
incumbents and***

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*challengers can
often be at stake.*

*Advancements
include the use of
Big Data, Artificial
Intelligence (AI),
Block chain and
Machine Learning.*

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policy makers and
industry will come
together to discuss
key aspects of
FinTech regulation
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June in New York
City will see SIFMA
present their
'FinTech'
conference. SIFMA**

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***believe that this year
their conference
topics will be ever
more important.***

***This is due to new
developments in
robotic advising, AI
and blockchain,
which all have the
potential to revamp
securities markets.
Also addressed will
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**changes in
regulations to fall in
line with new ...**

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2017 ...
Davis Polk partner
Jai Massari will be
among the speakers
at the 2018 SIFMA
Fintech Conference.
Massari will**

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***moderate the
“Regulation and
Innovation” panel,
which will address
how American
regulation and
supervision can
support Fintech.
Panelists will
discuss SIFMA’s
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recommendations
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*the Treasury's
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...

***A platform for
sharing cyber-
security threat
intelligence among
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companies has been***

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launched by US post-trade utility the DTCC and non-profit security organisation FS-ISAC. Called Soltra Edge, the platform gathers data about cyber-security threats and converts it into a standardised format for sharing. 3rd December 2014

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LEVEL AND**

**BEYOND Senior
policy makers and
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June in New York

**City will see SIFMA
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Panelists will

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policy*

recommendations

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A platform for sharing cyber-security intelligence among financial services companies has been launched by US post-trade utility the DTCC and non-profit organisation FS-ISAC. Called Soltra Edge, the platform

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***gathers data about
cyber-security***

***threats and converts
it into a***

***standardised format
for sharing. 3rd***

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